# CHAPTER 52. ISSUE A CERTIFICATE OF WAIVER FOR MOTION PICTURE AND TELEVISION FILMING

### SECTION 1. BACKGROUND

# 1. PROGRAM TRACKING AND REPORTING SUBSYSTEM (PTRS) ACTIVITY CODE: 1230

**2. OBJECTIVE.** The objective of this task is to review and issue or deny a request for a Federal Aviation Administration (FAA) Form 7711-1, Certificate of Waiver or Authorization, for motion picture and television operations and determine if an aircraft pilot/operator has developed an acceptable operations manual for use in motion picture and television filming production. Additionally, the aircraft pilot/operator shall develop safe operating procedures, guidelines, and criteria to operate below the altitudes required in Title 14 of the Code of Federal Regulations (14 CFR), part 91 §§ 91.119(b) and (c), 91.303(e), and 91.515(a). Successful completion of this task results in the acceptance or non-acceptance of an operations manual, the issuance of FAA Form 7711-1 for motion picture and television operations, or the disapproval of FAA Form 7711-2, Application for Certificate of Waiver or Authorization.

### 3. GENERAL.

- A. Purpose of the Motion Picture and Television Certificate of Waiver.
- (1) The motion picture and television industry utilize aircraft in support of their filming operations as both subject aircraft and behind the scenes aircraft. These aircraft are often required to be flown at altitudes and/or horizontal radius less then the minimums specified in 14 CFR §§ 91.119(b)(c) and/or 91.515(a). Additionally, these aircraft are required to perform aerobatics maneuvers below the minimum 1,500 feet above the surface as specified in 14 CFR §§ 91.303(e).
- (2) A waiver of the requirements of 14 CFR § 91.119(b) and (c) is necessary when aircraft must be flown closer than 500 feet from participating persons or property. If filming sequences require an aircraft to be flown in aerobatic flight below 1,500 feet above ground level (AGL), a waiver of 14 CFR § 91.303(e) is required. If a waiver for 14 CFR § 91.515(a) is

needed, it shall be added to the initial request and maintained as part of the original waiver. Items 1 through 8, 11, 12, and 15 on FAA Form 7711-2 must be addressed in all cases.

## B. Definitions.

- (1) Participating Person/Authorized Person. All persons associated with the filming production must be briefed on the potential risk of the proposed flight operation(s) and they must acknowledge and accept those risks. Non-participating persons are the public, spectators, media, etc., not associated with the filming production.
- (2) Subject Aircraft. Any aircraft that is being filmed as part of a motion picture or television filming event.
- (3) Behind the Scene Aircraft. Any aircraft used in the filming event that is not the subject aircraft (e.g., camera aircraft, aircraft carrying lights for the scene, aircraft causing background noise or wind, aircraft placing additional personnel into or around the scene but not in the scene, etc.).
- C. Considerations. Essential personnel may be filmed while on the exterior of, or entering or exiting an aircraft in flight. The following are possible scenarios:
- (1) Airplanes that include traditional external activities such as wing walking, parachuting, air-to-air transfers, air-to-ground transfers, ground-to-air transfers, towing (banners or equipment), and other motion picture and television activities.
- (2) Balloons that include rappelling, long-line operations, in-flight transfers, rope ladders, and other motion picture and television activities.
- (3) Helicopter activities that include rappelling, long-line operations, external camera operators, and other motion picture and television activities may constitute an external load. However, the FAA does not provide Class B, C, or D certification for non-jettisonable activities. They would not be approved as

external-load operations under 14 CFR part 133. Pilots and/or operators using these techniques will be required to demonstrate their ability to operate with these loads prior to being authorized for flight in the motion picture and television activities for motion picture production activities. The pilot may show competency if he/she has in his/her personal possession either a letter of competency or an appropriate logbook entry in accordance with 14 CFR § 133.37(a)(1)(2).

## D. Aircraft.

- (1) Restricted and Experimental Category Aircraft.
- (a) Serving as a camera platform for motion picture and television filming is one of the purposes for which FAA issues a restricted category airworthiness certificate under 14 CFR § 21.25(b)(3) (aerial surveying photography).
- (b) In order to be used in motion picture and television filming operations as the subject aircraft, the aircraft must have an airworthiness certificate issued in the appropriate category (i.e., experimental/exhibition, restricted (if demonstrating its purpose, in the film such as agricultural operations), etc.).
- (2) Helicopters. Helicopter operations are generally conducted under 14 CFR § 91.119(d). However, movie-making helicopter operations below 500 feet AGL may create a hazard to persons or property on the surface by drawing non-participants into the area. Therefore, FAA Form 7711-1, Certificate of Waiver or Authorization must be obtained for helicopter motion picture and television filming operations. If helicopters are to be used for aerobatic purposes under the provisions of this waiver, refer to chapter 49, section 1, paragraph 15F.
- E. Forms Used. FAA Form 7711-2, Application for Certificate of Waiver or Authorization (Figure 52-1), is a multipurpose form used to apply for FAA Form 7711-1, Certificate of Waiver or Authorization (Figure 52-2). Instructions for Completion of FAA Form 7711-2 are in Figure 52-3. All items on the form may not be applicable to the application request.
- F. Submission. FAA Form 7711-2, including a proposed motion picture and television operations manual, should be submitted at least 45 days before actual filming begins. The completion and submission of FAA Form 7711-2 and a proposed operations manual is the sole responsibility of the applicant.

- G. Approval or Disapproval. Applications for FAA Form 7711-1, Certificate of Waiver or Authorization, are processed at the geographically responsible Flight Standards District Office (FSDO). Within 30 days of receipt of the FAA Form 7711-2, an approved FAA Form 7711-1 or disapproval of the application must be issued by the district office. Upon approval, FAA Form 7711-2 and the acceptable operations manual becomes part of FAA Form 7711-1. The FSDO manager, or designated representative, shall sign the waiver for approval.
- H. Expiration Date. An FAA Form 7711-1 shall expire 24 calendar-months from the date of issuance. FAA Form 7711-1 for motion picture and television filming may be reissued by submission of a properly prepared FAA Form 7711-2 and the applicant's previously accepted operations manual, if appropriate.
- I. Motion Picture and Television Operations Manual. Operating and safety procedures must be incorporated in a motion picture and television operations manual. The operations manual, once accepted, becomes part of the waiver. The operations manual is the standard by which a certificate holder must conduct all operations pursuant to FAA Form 7711-1 authorization. The controls, procedures, and conditions set forth in the operations manual are the primary assurance that non-participating persons will not be jeopardized. This will be the basis for the authorization of the motion picture and television area of operation and/or the issuance of the waiver. Therefore, failure to comply with the provisions of the operations manual shall be considered a violation of the terms of the waiver and may constitute justification for cancellation of the waiver.
- J. Operations Manual Revisions. Inspectors should encourage pilot/operators to discuss manual revisions with the geographically responsible FSDO before they are submitted for acceptance. Proposed revisions to the manuals shall be submitted to the FSDO for review at least 15 days before the proposed effective date. Revisions shall not be distributed by the pilot/operator until acceptance by the FSDO and returned to the pilot/operator with an indication of acceptance. If the revisions are not accepted, inspectors must notify the pilot/operator in writing within 10 working days of receipt of the proposed revisions.

## K. Special Provisions.

(1) The following statement must appear as a special provision:

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# "The certificate holder must adhere to the accepted motion picture and television operations manual."

- (2) The pilot-in-command (PIC) may remove and install specialty equipment authorized in accordance with an exemption issued for that purpose or under the terms of an STC or field approval.
- (3) Traditional seating for the PIC of various aircraft does not always lend itself to be the safest position from which to conduct a flight. The primary seat may not be the optimal location, depending on the subject aircraft. The decision on where to sit may be determined by the PIC.
- (4) Additional provisions deemed appropriate to the Administrator to ensure safety of the operation should be prescribed by the FSDO (Figure 52-4).

# 4. CONTENTS OF THE MOTION PICTURE AND TELEVISION OPERATIONS MANUAL.

The applicant must submit an original and one copy of the motion picture and television operations manual. (Figure 52-5 is a sample manual development guide for use by the applicant.) The manual must include at least the following:

- A. Pilot/Operator Organization.
  - Pilot/Operator name
  - Address
  - Telephone number of applicant or responsible person
- B. Distribution and Revision. This section shall contain procedures for revising the operations manual to ensure that all manuals are kept current. Revisions for the accepted operations manual shall be forwarded to the FSDO at least 15 days before the proposed effective date.
- C. Persons Authorized. Title 14 CFR § 91.119(c) is waived only with respect to those participating persons, vehicles, and structures directly involved in the performance of the actual filming. The operations manual shall include procedures to ensure that no persons are allowed within 500 feet of the area except those consenting to be involved and necessary for the filming production. This provision may be reduced to no less than 200 feet if an equivalent level of safety can be achieved and the Administrator has approved it. For example, an equivalent level of safety may be determined by an ASI's evaluation of the filming production area to note terrain features, obstructions,

buildings, etc. Such barriers may protect nonparticipating persons (observers, the public, news media, etc.) from debris in the event of an accident.

- D. Area of Operations. There will be a variety of operational needs, depending upon the activities of the applicant. Certain companies may confine their activities to a local area, while other pilot/operators may conduct activities throughout the entire U.S. and its territories and possessions. The manual shall define the area authorized by the Certificate of Waiver. While the waiver is issued by the pilot/operator's local FSDO, the pilot/operator must coordinate with the FSDO having geographic responsibility over the area of the filming operations.
- E. Plan of Activities. The manual must include procedures for the pilot/operator to submit 3 days before scheduled filming and a written plan of activities to the local FSDO having jurisdiction over the area of proposed filming. The 3-day notification may be waived with the concurrence of the FSDO. Justification of the exception to the 3-day requirement is required. The plan of activities must include at least the following:
  - (1) Dates and times for all flights.
- (2) Name and phone number of person responsible for the filming production event.
- (3) Name and phone number of person responsible for the aircraft.
- (4) Make, model, and serial or N-number of aircraft to be used and type of airworthiness certificate, including category.
- (5) Name and certificate number of pilots involved in the filming production event, including any notation of external load endorsements or aerobatic competency, if required.
- (6) A statement that the waiver holder has obtained permission from property owners and/or local officials to conduct the filming production event. The list of those having given permission must be made available to the inspector upon request from the waiver holder.
- (7) Signature of waiver holder or representative.
- (8) A description of the flight activity to include maps or diagrams of any area, city, town, county, and/or State over which filming will be

conducted and the minimum altitudes essential to accomplish the operation.

- F. Permission to Operate. The motion picture and television operations manual will specify requirements and procedures for the pilot/operator to obtain permission from property owners and/or local officials (e.g., police, sheriff, fire departments) as appropriate for the conduct of all operations when operating under the provision of the waiver.
- G. Security. The applicant will specify the method of security that will be provided to exclude all persons not directly involved with the operation from the location. In the interest of safety, provisions will be made to stop activities when unauthorized persons, vehicles, or aircraft enter the operations area, or for any other reason. If security is not needed "Not Applicable" should be entered.
- H. Briefing of Pilot/Production Personnel.
   Procedures will be included to brief participating personnel of the risks involved, emergency procedures, and safeguards to be followed during the filming production event. Personnel will also be briefed on additional provisions that may be issued by the FSDO that has geographic responsibility for the operational area, including the location of boundaries or time limits. The briefing shall cover:
  - (1) The authorization for motion picture and television Certificate of Waiver and the attached special provisions,
    - (2) The operations manual,
    - (3) The Plan of Activities,
    - (4) Aircraft parking and starting,
    - (5) Taxi procedures,
    - (6) Radio communications,
    - (7) Takeoff procedures,
  - (8) Aviation activities to be conducted during the filming production event,
    - (9) Approach and landing procedures,
    - (10) Recall procedures,

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- (11) Emergency procedures,
- (12) Risks to participating personnel, and
- (13) How to control non-participating persons.

- I. Certification/Airworthiness. The aircraft may be certificated in any category, including experimental, provided the requirements of 14 CFR §§ 91.313, 91.319, and 91.203 are met. Procedures shall be included to ensure that aircraft inspections will be in accordance with the applicable parts of part 43, 91, or the assigned operating limitations.
- J. Pilot Personnel Minimum Requirements. The pilot/operator shall establish and specify the minimum pilot requirements. Minimum requirements shall meet or exceed the following:
- (1) A current U.S. Commercial pilot certificate with ratings appropriate to the category, class, and type (if applicable) of aircraft to be used under the terms of the waiver.
- (2) At least 500 hours logged as the PIC and at least 20 hours logged as the PIC in the aircraft type.
- (3) A minimum of 100 hours in the category and class of aircraft to be used.
- (4) A minimum of 5 hours in the make and model aircraft to be used under the waiver.
- (5) In the event that the 1,500-foot minimum standard contained in 14 CFR § 91.303(e) is to be waived, the pilot performing aerobatic maneuvers must hold FAA Form 8710-7, Statement of Acrobatic Competency, for the operations to be performed.
- (6) In the event the operation to be conducted contains elements of an external-load operation, whether fixed or rotary wing operations, pilots used in the operation shall be qualified. This qualification may be obtained through a test of knowledge (which may be oral and/or written) and/or skill. The inspector or another company pilot approved by the geographically responsible FSDO who is qualified to carry loads externally will give the test(s). These tests will be similar to part 133 requirements and cover the following subjects:
- (a) Steps to be taken before starting operations, including a survey of the flight area.
- (b) Proper method of loading, rigging, or attaching the external-load.
- (c) Aircraft performance capabilities under motion picture operating procedures and the aircraft flight manual.
- (d) Proper instructions for flight and ground crew personnel.

- (e) Aircraft flight manual, pilot operations handbook, or a rotorcraft load combination flight manual and limitations, if appropriate.
- K. Communications. The operations manual must contain procedures to provide communications capability with all participants during the actual operation and filming. The communications must have the capability to keep all the participants apprised of the current status of the operation.
- L. Accident Notification. The operations manual must contain procedures for notification and reporting of accidents, notifying National Transportation Safety Board as per 14 CFR part 830, and protection of the accident scene.
- M. Recall/Stop Procedures. The applicant can use radio communications, oral, visual, or any combination acceptable to the Administrator as long as it keeps the participants continuously apprised of the current status of the operation.
- N. Aerobatic Competency. If the filming operations require the issuance of FAA Form 8710-7, Statement of Acrobatic Competency, refer to volume 2, chapter 31, Issue/Renew/Rescind a Statement of Acrobatic Competency.
- **5. REVIEW FAA FORM 7711-2.** Pertinent items are discussed below for purposes of clarity and uniformity. The application should be reviewed upon receipt for obvious discrepancies. The reviewing office must not alter the information submitted by the applicant on FAA Form 7711-2.
- A. Items 1 and 2. If the applicant is a representative of an organization, the organization's name shall appear in item 1. The name of the individual and his/her position or authority to represent the organization (e.g., the responsible person) shall appear in item 2. If the applicant is not representing others, the indication, N/A, shall be entered in item 1 and the applicant's name entered in item 2.
- B. Item 4 14 CFR Sections Requested Waived. In many instances, the applicant does not know, or is not sure, which sections of 14 CFR are involved. A meeting with the applicant before acceptance of the application may be necessary.
- C. Item 5 Description of Operation. Using the term motion picture and television filming to describe the type of operation is sufficient for the applicant.

- D. Item 6 Area of Operation. Describe the geographical area of operations desired. A detailed description of any city, town, county, and/or state over which the filming production event operation must be submitted.
- E. Item 7 Beginning and Ending Dates. The applicant must list the beginning date and hour and the ending date and hour for the operation in this item. The dates requested must not exceed 24-calendar months. In cases involving one-time operations where an alternate date has not been indicated, the inspector should advise the applicant to request an alternate date in order to save time and unnecessary paperwork.
- F. Item 8 Aircraft and Pilot Information. At the time FAA Form 7711-2 for authorization of motion picture and television Operation is submitted, the applicant may not know the names of the pilots or the aircraft to be used in a particular operation. The application may be accepted with a notation in Item 8 that a list will be provided along with the Plan of Activities.
  - G. Item 9 Sponsorship. Not required.
- H. Item 10 Permanent Mailing Address of Sponsor. Not required.
- I. Item 11 Policing. Although it may be desirable, there is no specific requirement for the use of uniformed police or security guards. The need for special policing depends upon several factors.
- (1) If fencing is used for crowd control, there may be little need for special crowd-control personnel. On the other hand, if the sponsor intends merely to cordon off the designated areas with rope, it might be necessary to have special crowd-control personnel.
- (2) With respect to crowd-control, it must be remembered that it is not the FAA's responsibility to control the crowd or to decide who can serve to police the filming production event.
- (3) In every case, the applicant should be advised that it is his/her responsibility to ensure that all reasonable efforts are made to confine spectators to designated areas. If reasonable efforts have been taken and unauthorized persons or vehicles enter the operational area where maneuvers are being performed during the filming production event, the pilot/operators must halt the operation, and efforts must be made to remove them. All parties involved in the production and the inspector shall use good judgement when

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determining whether it is necessary to halt a filming production event to protect persons on the ground.

- J. Item 12 Emergency Facilities. Emergency facilities have also caused problems for production companies. As discussed previously, the application form serves as an all-purpose form, and, therefore, contains items that may or may not be appropriate to emergency facilities. Some applications have been denied because the boxes for physician, ambulance, and fire truck were not filled in. Every filming production event sponsor should be encouraged to provide emergency medical service even though this service is not normally necessary. A physician or a rescue squad, paramedics, or emergency medical technicians may be sufficient. Normally, the following rules of thumb are adequate.
- (1) Physician. Except for events that are a great distance (in a ground vehicle) from a hospital or medical clinic, an emergency rescue squad, paramedics, emergency medical technicians, or a first-aid station can be substituted for a physician.
- (2) Ambulance. If an emergency rescue squad is provided, an ambulance should also be provided. If there is a physician in attendance, any vehicle acceptable to the physician for emergency transportation is sufficient. In fact, many communities rely on a sheriff's or local law enforcement officer's vehicle as their only means of ambulance service.
- (3) Fire Truck. For the most part, the only reason for having a fire truck at a filming production event is for the performers' benefit, not the spectators'. If the performers are willing to accept a pickup truck with hand-held fire extinguishers, the FAA should not demand that the sponsor provide a bona fide fire truck with trained firemen
- (4) Crash Wagon. Many locations where events are conducted do not have crash wagons available. If they are not available, the FAA should not require a sponsor to obtain one from a facility that might be hundreds of miles away. Again, crash wagons serve the performers, not the public.

(5) Other. A sponsor seldom needs to fill in this block. The following is an example of how the "Other" block might prove useful. In one filming production event, the sponsor had a helicopter and pilot continually ready for emergency transportation of spectators or performers who might be injured or who become ill during the filming production event. Additionally, a military-trained firefighter and medic was standing by the helicopter with extinguishers in case one of the aircraft had an accident anywhere in the operating area. In this particular case, by describing this other emergency facility, the applicant could have been relieved of having to show anything in the preceding blocks.

## *K. Item 13 – Air Traffic Control (ATC).* Not required.

- L. Item 14 Plan of Activities. The FAA must see a Plan of Activities in order to evaluate the application. For the purpose of reviewing the application, the schedule does not need to be detailed. It should contain at least a general description of the types of events for the filming production event and their sequence during the filming production event.
- (1) The applicant must specify a date before the filming production event when he/she will provide a schedule of events. The schedule of events must list the identification of the aircraft and the performers in the sequence of their appearance. This list becomes a part of the official authorization of motion picture and television area of operation and waiver package. At the filming production event the scheduled order of events on the waiver may change because of weather, mechanical problems, etc. Such changes must be coordinated with the FSDO that issued the authorization and/or waiver.
- (2) Any maneuvers added to the schedule of events will require FAA approval and should be submitted to the jurisdictional FSDO at the earliest opportunity. Cancellation of events does not require advance notice.
- M. Item 15 Signature Block. The Responsible Party must sign this block.

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#### SECTION 2. PROCEDURES

# 1. PREREQUISITES AND COORDINATION REQUIREMENTS.

- A. Prerequisites. This task requires knowledge of the regulatory requirements of 14 CFR part 91 and FAA policies and qualification as an aviation safety inspector (ASI-operations).
- B. Coordination. This task may require coordination with the airworthiness unit within the district office, other district offices, regional offices, headquarters (AFS-300), or appropriate air traffic facilities, and AFS-800.

### 2. REFERENCES, FORMS, AND JOB AIDS.

- A. References.
  - Title 14 CFR parts 1, 21, 43, 61, 91, and 133
  - PTRS Procedures Manual (PPM)

#### B. Forms.

- FAA Form 7711-1, Certificate of Waiver or Authorization (Figure 52-2)
- FAA Form 7711-2, Application for Certificate of Waiver or Authorization (Figure 521)
- FAA Form 8000-36, Program Tracking and Reporting Subsystem Data Sheet
- FAA Form, 8710-7, Statement of Aerobatic Competency

#### C. Job Aids.

• Sample letters and figures

## 3. PROCEDURES.

- A. Determine if FAA Form 7711-2 is required. Refer to section 1, paragraph 2.
- (1) If an FAA Form 7711-1 is not required, terminate the task.
  - (2) If an FAA Form 7711-1 is required:
- (a) Provide the applicant with a copy of FAA Form 7711-2 (Figure 52-1), Instructions for Completion of FAA Form 7711-2 (Figure 52-3), and 14 CFR part 91 motion picture and television operations manual development guide (Figure 52-5).

(b) Advise the applicant to complete items 1 through 15, as applicable, on FAA Form 7711-2.

NOTE: Item numbers 9, 10, 13, and 14 are not required for motion picture and television application of Certificate of Waiver or Authorization.

- (c) Advise the applicant that the application must be submitted to the FSDO at least 45 days prior to the filming production event.
- (3) If the applicant is also applying for a waiver of 14 CFR § 91.303(b) through (d) for a one-time event:
- (a) Advise the applicant that items 1 through 15 (except items 9, 10, 13, and 14) on FAA Form 7711-2 must be completed.
- (b) Advise the applicant that the application must be submitted to the FSDO at least 45 days prior to the filming production event.
- (c) Advise the applicant that a motion picture and television filming operations manual must be prepared and submitted to the FSDO for review at least 45 days before the planned filming production event.

## B. Open PTRS.

- C. Receipt of FAA Form 7711-2 and Motion Picture and Television Operations Manual, if Appropriate. Using the information provided by the applicant and the background in section 1, review FAA Form 7711-2 and the operations manual for all pertinent information for the proposed filming production event. Accept strikeovers that are minor in nature and initialed by the applicant. Items 9, 10, 13, and 14 do not need to be completed for motion picture and television applications. The following is a guide for reviewing the completed form 7711-2.
- (1) Items 1 and 2 Name of Organization/ Name of Responsible Person. Ensure that the applicant has indicated the name of the organization or individual applying and the name of a person responsible for matters concerning the application.
- (2) Item 3 Permanent Mailing Address. Ensure that the applicant has indicated the Permanent-mailing address of the organization or individual named in item 1.

- (3) Item 4 14 CFR Sections to be Waived. Ensure that the applicant has listed all sections of the regulations that need to be waived with regard to the filming production event.
- (4) Item 5 Description of Operations. Ensure that the applicant has indicated the type of motion picture and/or television filming production event to be conducted.
  - (5) Item 6 Area of Operations.
- (a) Ensure that the applicant has listed the specific locations and altitudes of the proposed filming production event.
- (b) Ensure that the area of operation is within the jurisdiction of the district office.
  - (6) Item 7 Time Period.
- (a) Ensure that a beginning date and hour and an ending date and hour for the filming production event has been indicated.
- (b) Ensure that the time period indicated does not exceed 24 calendar-months.
- (7) Item 8 Aircraft and Pilots. Check for aircraft make and model, pilot names, and certificate numbers. Item 8 may be accepted with a statement, "A list containing aircraft and pilot information will be furnished on (applicant enters a specific date)."
  - (8) Items 9 and 10 Sponsorship. Not required.
- (9) Item 11 Security. Ensure that the applicant has described provisions for policing the filming production event, if policing is necessary.
- (10) Item 12 Emergency Facilities. Ensure that the applicant marked all items that will be available at the time and place of the event.
  - (11) Item 13 Air Traffic Control. Not required.
- (12) Item 14 Schedule of Events. Not required. See Plan of Activities.
- (13) Item 15 Certification. Ensure that the applicant has signed and dated FAA Form 7711-2 and each attachment to the application.
- (14) If FAA Form 7711-2 has not been completed:
- (a) List the reasons for disapproval in the "Remarks" section of FAA Form 7711-2.

(b) Prepare a letter of disapproval of application (Figure 52-6) with a suspense date for submission of a corrected FAA Form 7711-2.

- (c) Retain a copy of the application for future comparison.
- (d) Return the application, operations manual, if appropriate, and the letter of disapproval to the applicant.
  - (e) Make appropriate PTRS entries.
  - (15) If FAA Form 7711-2 has been completed:
- (a) Prepare FAA Form 7711-1 if an operations manual is not required.
- (b) If an operations manual is required and has been submitted, review the operations manual.
- D. Review Operations Manual. Ensure that the operations manual contains the items discussed in section 1, paragraph 4.
  - (1) If the manual is unsatisfactory:
- (a) Contact the applicant and explain areas of the operations manual that need to be corrected.
- (b) Prepare a letter of non-acceptance of the manual (Figure 52-7) with a suspense date for submission of the corrected operations manual.
- (c) Mark the "Disapproved" block of FAA Form 7711-2, list reasons for the disapproval in the "Remarks" section of FAA Form 7711-2, and sign and date in the "Action" block of FAA Form 7711-2.
- (d) Retain a copy of the operations manual for future comparison.
- (e) Return the application, one copy of the operations manual, and the letter of non-acceptance to the applicant.
  - (2) If the manual is satisfactory:
- (a) Mark the Approved block on FAA Form 7711-2, and sign and date in the action block of FAA Form 7711-2.
- (b) Prepare a letter of acceptance of an 
   operations manual (Figure 52-8).
  - (c) Continue with the task.
- E. Aerobatic Competency. Determine if FAA Form 8710-7 is required.
- (1) If the pilot/operator has requested a waiver of 14 CFR § 91.303(b) through (d), FAA Form 8710-7

for motion picture and television filming must be issued.

- (2) Refer to volume 2, chapter 31, Issue/Renew/Rescind a Statement of Acrobatic Competency.
  - F. Prepare FAA Form 7711-1.
- (1) Fill in the inspector portion of FAA Form 7711-1.
- (2) Develop any special provisions that are not covered in the applicant's operations manual.
- (3) If 14 CFR § 91.303 or 91.515 is to be waived, refer to chapter 49, section 1, paragraphs 14 and 15 for additional provisions that may be required.
- (4) Submit FAA Form 7711-1 to the FSDO manager, or designated representative, for his/her signature. The designated representative may be no lower then the operations unit supervisor.
- (5) Prepare a reminder notice/letter (Figure 52-9) to the waiver holder reminding him/her that a plan of activities must be submitted and accepted before each filming production event, including any special provisions.

## G. District Office File.

- (1) Prepare a district office file on the applicant that includes, but is not limited to, a copy of the following documents:
- (a) FAA Form 7711-1 and the special provisions;
  - (b) FAA Form 7711-2;
  - (c) Operations manual;
- (d) Notice/letter of disapproval of application (FAA Form 7711-2);
- (e) Notice/letter of non-acceptance of the operations manual; or
- (f) Notice/letter of acceptance of the operations manual;
  - (g) Notice/letter of reminder; and
  - (h) Any other documents of correspondence
- (2) Send the original of the following documents to the applicant:

- (a) FAA Form 7711-1;
- (b) FAA Form 7711-2;
- (c) Accepted motion picture and television operations manual;
- (d) Notice/letter of acceptance of the operations manual; and
  - (e) Notice/letter of reminder.
  - H. Close PTRS. Make appropriate PTRS entries.
- I. Vital Information Subsystem (VIS). Establish a part 91 pilot/operator VIS record, if appropriate.
- **4. TASK OUTCOMES.** Completion of this task results in one or more of the following:
  - A. Issuance of a certificate of waiver.
  - B. An accepted operations manual.
  - C. Letter of acceptance of the operations manual.
  - D. Letter of reminder to submit a plan of activities.
  - E. Part 91 VIS record.
- F. Issuance of Statement of Acrobatic Competency Card, if required.
  - G. Disapproval of an application.
- H. A letter of non-acceptance of the operations manual.

## 5. FUTURE ACTIVITIES.

- A. Reissue a Certificate of Waiver.
- B. Cancellation of a Certificate of Waiver.
- C. Review proposed revisions to the operations manual.
  - D. Review the pilot/operator's plan of activities.
- E. Surveillance of any operations approved by the Certificate of Waiver (volume 2, chapter 53).
  - F. Possible enforcement investigation.
- G. Take part in an investigation as a result of an accident, incident, or violation of the regulations.
- H. Rescind FAA Form 8710-7 or require reevaluation.

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# **FIGURE 52-1**

# FAA FORM 7711-2, APPLICATION FOR CERTIFICATION OF WAIVER OR **AUTHORIZATION**

	seived (14 C.F.R. 91, 101, and 105).											
<b>A</b> 110.5			Form Approved: O.M.B. No. 2120-0027									
US Department of Transportation			APPLICANTS - DO NOT USE THESE SPACES									
Federal Aviation Administration			Region	Date								
	APPLICATION FOR		Action  ☐ Approved ☐ Disapproved - Explain under "Remarks"									
	ERTIFICATE OF WAIVER OR AUTHORIZATION		Signature of authorized	FAA representative								
	OR AUTHORIZATION											
	IN	ISTR	UCTIONS									
Submit this application in triplicate (3) to any FAA Flight Standards district office.  Applicants requesting a Certificate of Waiver or Authorization for an aviation event must complete all the applicable items on this form and attach a properly marked 7.5 series Topographic Quadrangle Map(s), published by the U.S. Geological Survey (scale 1:24,000), of the proposed operating area. The map(s) must include scale depictions of the flightlines, showlines, race courses, and the location of the air event control point, Police dispatch, ambulance, and fire			fighting equipment. The applicant may also wish to submit photographs and scale diagrams as supplemental material to assist in the FAA's evaluation of a particular site. Application for a Certificate of Waiver or Authorization must be submit-ted 45 days prior to the requested date of the event.  Applicants requesting a Certificate of Waiver or Authorization for activities other than an aviation event will complete items 1 through 8 only and the certification, item 15, on the reverse.									
							1. Name of organization			2. Name of responsible person		
							3. Permanent	House number and street or route number	City		State and ZIP code	Telephone No.
mating address	Ì	Ĺ	İ	l	i							
4. FAR section and	number to be waived											
s. belated descript	ion of proposed operation (Attach supplement if needed)											
6. Area of operation	(Location, altitudes, etc.)											
7a. Beginning (Date	and hour)		b. Ending (Date and hour	2								
	,			,								
8. Aircraft make and m (a)	Aircraft Pilot's Name make and model (b)		Certificate number Home address and rating (Street, City, State) (C) (d)		et, City, State)							
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# **FIGURE 52-1**

# FAA FORM 7711-2, APPLICATION FOR CERTIFICATION OF WAIVER OR AUTHORIZATION - Continued

ITEMS 0 TH	ROUGH 14 TO B	E FILLED OUT FOR AIR SHOW	IAIR RACE WAIVER REQUE	STS ONLY		
9. The air event will		E PILLED OUT FOR AIR O	AIN NAVE WATER RESUL	STS ONE I.		
10. Permanent	House number an	nd street or route number	City	State and ZIP code	Telephone No.	
mailing address	i		, I	I	 I	
	!		! :	<u> </u>		
11. Policing (Descri	fibe provisions to be	made for policing the event.)				
12. Emergency fac	ilities (Mark all that v	will be available at time and place of al	r event.)			
☐ Physicia	an	☐ Fire truck	☐ Other - Specify			
☐ Ambula	ma	Crash wagon				
	nce	Li Crasii wagon				
13. Air Traffic conti	rol (Describe method	of controlling traffic, including provisi	on for arrival and departure of sch	eduled aircraft.)		
14. Schedule of Ev	ents (include arrival	and departure of scheduled aircraft a	nd other periods the airport may b	e open.)		
	r-t-		Frank			
Hour (a)	Date (b)		Event (c)			
If sufficient sp	ace is not available,	the entire schedule of events may be	submitted on separate sheets, in	the order and manner indicated ab	ove.	
l \	The undersign	ned applicant accepts full responsit	bility for the strict observance o	f the terms of the Certificate		
Please Read	of Waiveror A	uthorization, and understands that				
ı /	limited to the a	above described operation.				
15 Certification	L CERTIEV that th	ne foregoing statements are true.				
Date	Signature of /	Applicant				
Remarks						

FAA Form 7711-2 (6.86) Supersedes Previous Edition

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# **FIGURE 52-2**

# FAA FORM 7711-1, CERTIFICATE OF WAIVER OR AUTHORIZATION

U.S. DEPARTMENT OF TRANSPORTATION FEDERAL AVIATION ADMINISTRATION			
CERTIFICATE OF WAIVER OR AUTHORIZATION			
ISSUED TO			
ADDRESS			
This certificate is issued for the operations specifically described hereinafter. No person shall conduct any operation pursuant to the authority of this certificate except in accordance with the standard and special provisions contained in this certificate, and such other requirements of the Federal Aviation Regulations not specifically waived by this certificate.			
OPERATIONS AUTHORIZED			
LIST OF WAIVED REGULATIONS BY SECTION AND TITLE			
ISTOL WAVED RESIDENCE OF SECTION AND THEE			
STANDARD PROVISIONS			
<ol> <li>A copy of the application made for this certificate shall be attached to and become a part hereof.</li> <li>This certificate shall be presented for inspection upon the request of any authorized representative of the Administrator of the Federal Aviation Administration, or of any State or municipal official charged with the duty of enforcing local laws or regulations.</li> <li>The holder of this certificate shall be responsible for the strict observance of the terms and provisions contained herein.</li> <li>This certificate is nontransferable.</li> </ol>			
NoteThis certificate constitutes a waiver of those Federal rules or regulations specifically referred to above. It does not constitute a waiver of any State law or local ordinance.			
SPECIAL PROVISIONS			
Special Provisions Nos to inclusive, are set forth on the reverse side hereof.			
This certificate is effective from			
(Region) (Signature)			
August 22 2002			

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#### **FIGURE 52-3**

## **INSTRUCTIONS FOR COMPLETION OF FAA FORM 7711-2**

**PREPARING FAA FORM 7711-2.** Items from FAA Form 7711-2 are discussed below for purposes of clarity and uniformity of its use.

- a. Items 1 and 2, Name of Organization/Name of Responsible Person. If you are a representative of an organization, then the organization's name should appear in Item 1. For application purposes, your name and title or position, as the organization's representative, should appear in Item 2. If you are not representing an organization, the term "N/A" should be entered in Item 1 and your name in Item 2.
- **b.** Item 3, Permanent Mailing Address. Self-explanatory.
- c. Item 4, 14 CFR Section and Number to be waived. If you are unsure which sections of the regulations need to be waived, contact the FSDO for guidance.
- **d.** Item 5, Detailed Description of Proposed Operations. Using the term "motion picture and television filming" to describe the type of operation is sufficient. Additional detailed information on the type of operation to be conducted should be included.
- **e.** Item 6, Area of Operation. A detailed description of any area, city, town, county, and/or state over which filming operations will be conducted.
- f. Item 7, Time Period. List the beginning dates and hours and ending dates and hours for the proposed filming operation. The maximum time period for operations is 24 calendar-months (i.e., June 12, 2000 to June 30, 2002.) The application shall be submitted to the FSDO at least 45 days before the beginning date

- of the operation. For a one-time operation, consideration should be given to alternate dates. A request for alternate dates may prevent a delay and/or unnecessary paperwork. These alternate dates shall be included in this item.
- g. Item 8, Aircraft Make and Model. List the names of all pilots, their certificate numbers and ratings, and all aircraft by make and model to be used in the operation. If the type of aircraft and/or the names of the pilots are not known at the time the application is submitted, the FAA will accept the application with the statement, "A list containing aircraft and/or pilot information will be furnished on [insert date.]"
- h. Item 9, Sponsorship. Not required.
- i. Item 10, Permanent Mailing Address of Sponsor. Not required.
- **j. Item 11, Policing.** Furnish a detailed explanation of how crowd control will be handled.
- **k.** Item 12, Emergency Facilities. If required, place an "X" in the appropriate boxes. If there are any questions concerning this item, please contact the FSDO.
- **I. Item 13, Air Traffic Control.** Not required.
- **m. Item 14, Schedule of Events.** Requires the Plan of Activities in section 1, paragraph 5L.
- **n. Item 15, Certification.** As the applicant or an organization's representative, you must sign in this block and on each page of the application.

#### FIGURE 52-4

# SAMPLE MOTION PICTURE AND TELEVISION OPERATIONS MANUAL SPECIAL PROVISIONS

The following special provisions are provided for reference only and may be selected and/or modified as determined by the issuing FSDO.

The certificate holder must adhere to the motion picture and television operations manual.

The controls, procedures, and conditions set forth in the (insert name of company) motion picture and television operations manual is the primary assurance that persons on the surface will not be jeopardized. This is the basis for issuance of the authorization and/or waiver. Therefore, failure to comply with the provisions of the manual will be considered a violation of the terms of the authorization and/or waiver and may constitute justification for cancellation of the authorization and/or waiver.

Aircraft and pilots used under this authorization and/or waiver will be only those specified in the (insert name of pilots and/or operators) motion picture and television operations manual or associated plan of activities. Each pilot's name, and certificate number shall appear on each daily plan of activity.

All civil aircraft and pilot(s) participating in the activity shall be available for FAA inspection before the scheduled event.

The FAA has the authority to cancel or delay some or all participants or events if, in its opinion, the safety of persons or property on the ground or in the air is in jeopardy, or there is a contravention of the terms of the authorization and/or waiver.

Authority to deviate from the regulations is limited to the specific regulations shown on the Authorization of motion picture and television FAA Form 7711-1, Certificate of Waiver or Authorization.

All flight operations conducted under the authorization of this waiver will be performed in accordance with 14 CFR § 91.155, basic VFR weather minimums, except as provided in the waiver holder's motion picture and television operations manual, Special Provisions, Aerobatics.

Aircraft may not be flown along a path that would require excessive maneuvering to avoid non-participating persons on the surface in the event of an emergency.

The holder of this manual shall ensure that each pilot-in-command conducting operations authorized under this certificate understands the conditions of issuance, and that it constitutes a waiver of (insert applicable regulations (e.g., 14 CFR §§ 91.119(b) and (c), 91.303(e), and 91.515)).

Title 14 CFR § 91.119(b) is waived only with respect to participating persons, vehicles, and structures directly involved in the performance of the actual filming. Flight operations closer than 500 feet (200 feet, if authorized) or over flight of a group of non-participating persons at less than 1,000 feet AGL are prohibited.

Rotorcraft takeoff and landing areas must be protected in a manner that will prevent unauthorized persons from entering the helipad area. The helipads must be located so the aircraft will not pass over non-participating personnel during takeoff and landing.

In the event of an accident considered to be the result of an event deficiency or procedure, flight operations will be canceled until the deficiency has been corrected and the correction accepted by the FSDO responsible for the geographic area in which the activity occurred.

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#### **FIGURE 52-4**

# SAMPLE MOTION PICTURE AND TELEVISION OPERATIONS MANUAL SPECIAL PROVISIONS - Continued

The holder of the authorization and/or waiver shall ensure that the participating persons involved in the operations are thoroughly briefed on special procedures, communications, emergency procedures, and on the provisions of the authorization and/or waiver before beginning the activities. This requirement applies to all persons within 500 feet of the aircraft during waived activity. No person may participate in any event unless that person has received a briefing on the provisions of the waiver.

The holder of the (insert name of company) motion picture and television operations manual shall maintain primary responsibility for safeguarding persons and property on the surface.

The certificate holder must submit 3 days prior to scheduled filming, a written Plan of Activities to the FSDO having jurisdiction over the area of proposed filming. The three-day notification may be waived with the concurrence of the FSDO. Justification of the exception to the three-day requirement is required.

Aircraft operated under this authorization and/or waiver will have on board an airworthiness certificate appropriate for the operations being conducted.

Revision # (if appropriate)
\_\_\_ FSDO Movie Manual Special Provisions
(enter date of issue)

#### **FIGURE 52-5**

# TITLE 14 CFR PART 91 MOTION PICTURE AND TELEVISION OPERATIONS MANUAL DEVELOPMENT GUIDE

Each motion picture and television operations manual must contain at least the following items, although it is not restricted to these items.

- 1. Pilot and/or Operator Organization.
- 2. Pilot and/or operator name, address, and telephone number of applicant.
- **3. List of pilots** to be used during the filming, including their pilot certificate numbers. This information may be placed in the Plan of Activities. The list must include special pilot authorizations or endorsements (aerobatic, external load, etc.), if applicable.
- **4. List of aircraft** by make, model, serial or registration number. This information shall be placed in the Plan of Activities.
- 5. Distribution and Revision.
  - (a) Procedures for revising the motion picture and television operations manual to ensure that all manuals are kept current. A list of effective pages may be appropriate.
  - (b) Revisions for the accepted motion picture and television operations manual should be forwarded to the FSDO at least 15 days before the proposed effective date.
- **6. Persons Authorized.** The motion picture and television operations manual must include procedures to ensure that no persons, except those persons consenting to be involved and necessary for the filming production, are allowed within 500 feet of the filming production area. This provision may be reduced to no less than 200 feet in the event that a suitable, equivalent level of safety can be achieved. An equivalent level of safety may be determined by evaluation of the filming production area and the degree of terrain features, buildings etc. that will provide a safety barrier to observers.
  - **7. Area of Operations.** The motion picture and television operations manual must define the area (city, state or states, etc.) that will be used during the term of the authorization and/or waiver.
- **8.** Plan of Activities. The motion picture and television operations manual must include procedures for the submission, 3 days prior to scheduled filming, which includes a written Plan of Activities, to the local FSDO having jurisdiction over an area of proposed filming. At the discretion of the FSDO, the 3-day notification may be waived. Justification of the exception to the 3-day requirement is needed. The manual shall indicate acknowledgment of the requirement for FAA acceptance of the Plan of Activities prior to beginning filming operations. The Plan of Activities must include at least the following:
  - (a) Dates and times for all flights.
  - (b) Name and phone number of person responsible for the filming production event.
- (c) Make, model, and serial number or registration number of aircraft to be used and type of airworthiness certificate, including category.
  - (d) Names and certificate numbers of pilots involved in the filming production event.
  - (e) A statement that permission has been obtained from property owners and/or local officials to conduct the filming production event.
  - (f) Signature of waiver holder or a designated representative.
  - (g) A general outline or summary of the flight activity schedule, including maps or diagrams of the specific filming location, if necessary.

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#### **FIGURE 52-5**

# TITLE 14 CFR PART 91 MOTION PICTURE AND TELEVISION OPERATIONS MANUAL DEVELOPMENT GUIDE - Continued

- **9. Permission to Operate.** The motion picture and television operations manual shall specify requirements and procedures that the waiver holder will use to obtain permission from property owners and/or local officials (e.g., police, sheriff, fire departments) as appropriate for the conduct of all filming operations when using the waiver.
- 10. Security. The manual must specify the method of security that will be used to exclude all persons not directly involved with the operation from the location. This should also include procedures that will be used to stop activities when unauthorized persons, vehicles, or aircraft enter the operations area, or for any other reason, in the interest of safety.
- 11. Briefing of Pilot/Production Personnel. Procedures must be included to brief personnel of the risks involved, emergency procedures, and safeguards to be followed during the filming production event. Personnel will also be briefed on any additional provisions that may be issued by the local FSDO, including the location of boundaries or any other time limits.
- **12.** Certification/Airworthiness. Procedures must be included to ensure that inspections will be in accordance with 14 CFR parts 43 and 91 and applicable operating limitations. The aircraft to be used may be certificated in any category, including experimental, provided the requirements of part 91, §§ 91.7, 91.9, and 91.203 are met.
- **13. Pilot Personnel Minimum Requirements.** The pilot/operator must establish and specify the minimum pilot requirements. Minimum requirements should meet or exceed the following:
  - (a) A current U.S. Commercial Pilot certificate with ratings appropriate to the category and class aircraft to be used under the terms of the waiver.
  - (b) At least 500 hours logged as PIC and 20 hours of PIC in the aircraft type.
  - (c) A minimum of 100 hours in the category and class of aircraft to be used.
  - (d) A minimum of 5 hours in the make and model aircraft to be used under the waiver.
  - (e) In the event that the 1,500-foot minimum standard contained in § 91.303(d) is to be waived, the pilot performing aerobatic maneuvers must hold an FAA Form 8710-7, Statement of Acrobatic Competency, for the operations to be performed.
  - (f) In the event the operation to be conducted contains elements of an external-load operation, whether fixed-wing or rotary operations, pilots used in the operation shall be qualified. This qualification may be obtained through a test of knowledge (which may be oral or written) and/or skill. A FAA inspector will give the test(s) or another company pilot approved by the FSDO and who is qualified to carry loads externally. These tests will be similar to 14 CFR part 133 requirements and cover the following subjects:
    - Steps to be taken before starting operations, including a survey of the flight area
    - Proper method of loading, rigging, or attaching the external-load
    - Aircraft performance capabilities under motion picture operating procedures and the aircraft flight manual
    - Proper instructions for flight and ground crew personnel
    - Aircraft flight manual, pilot operations handbook, or a rotorcraft load combination flight manual, and limitations, if appropriate
- **14.** Communications. The motion picture and television flight operations manual must contain procedures to provide communications capability with all participants during the actual operation and filming. The applicant can use oral, visual, or radio communications as along as it keeps the participants continuously apprised of the current status of the operation.
- **15. Accident Notification.** The motion picture and television flight operations manual must contain procedures for notification and reporting of accidents.

# FIGURE 52-6 SAMPLE LETTER OF DISAPPROVAL OF AN APPLICATION

FAA Letterhead
[date]
[applicant's name and address]
Dear [applicant's name]:
This letter informs you that the application you submitted on [indicate date] has been disapproved for the reasons listed in the "Remarks" section of FAA Form 7711-2.
Please make the corrections noted and return to this office within 15 days of receipt of this letter.
If you have any questions or comments, please feel free to contact this office at the following telephone number [indicate number].
Sincerely,
[principal operations inspector's signature]

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# **FIGURE 52-7**

# SAMPLE LETTER OF NON-ACCEPTANCE OF A FLIGHT OPERATIONS MANUAL

FAA Letterhead
[date]
[pilot/operator's name and address]
Dear [pilot/operator name]:
This letter informs you that the motion picture and television flight operations manual submitted on [indicate date] has been determined unacceptable for the following reasons:
[list all reasons for non-acceptance]
Please make the corrections noted, and resubmit to this office within 15 days of receipt of this letter.
If you have any questions, please feel free to contact this office during regular business hours at the following telephone number [indicate number].
Sincerely,
[principal operations inspector's signature]

# FIGURE 52-8 SAMPLE LETTER OF ACCEPTANCE OF A FLIGHT OPERATIONS MANUAL

FAA Letterhead
[date]
[pilot/operator's name and address]
Dear [pilot/operator's name]
This letter informs you that the motion picture and television flight operations manual submitted on [indicate date] has been accepted.
If you have any questions, please feel free to contact this office during regular business hours at the following telephone number [indicate number].
Sincerely,
[principal operations inspector's signature]

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# FIGURE 52-9 SAMPLE LETTER OF REMINDER

FAA Letterhead
[date]
[pilot/operator's name and address]
Dear [pilot/operator's name]:
This letter is a reminder that a plan of activities must be submitted, as outlined in your accepted motion picture and television flight operations manual, to the local Flight Standards District Office (FSDO) having jurisdiction over the area of proposed filming.
The plan of activities must be submitted at least 3 days before actual filming begins.
If you have any questions or comments, please feel free to contact this office at the following telephone numbe [indicate number].
Sincerely,
[principal operations inspector's signature]

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